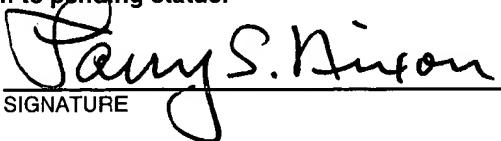


| | | | |
|---|---|---|----------------------------------|
| FORM PTO-1390 (REV 11-98) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY/AGENT NUMBER 36-1367 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 89/701194 To be Assigned | |
| INTERNATIONAL APPLICATION NO. PCT/GB99/01866 | INTERNATIONAL FILING DATE 11 June 1999 | PRIORITY DATE CLAIMED 23 June 1998 | |
| TITLE OF INVENTION A SYSTEM AND METHOD FOR THE CO-ORDINATION AND CONTROL OF INFORMATION SUPPLY USING A DISTRIBUTED MULTI-AGENT PLATFORM | | | |
| APPLICANT(S) FOR DO/EO/US BUSUIOC | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | |
| 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | |
| 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). | | | |
| 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date. <input type="checkbox"/> | | | |
| 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). | | | |
| 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). | | | |
| 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. | | | |
| 8. <input checked="" type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)). | | | |
| 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | |
| 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | |
| Items 11. To 16. Below concern document(s) or information included: | | | |
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. | | | |
| 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. | | | |
| 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. | | | |
| 14. <input type="checkbox"/> A substitute specification. | | | |
| 15. <input type="checkbox"/> A change of power of attorney and/or address letter. | | | |
| 16. <input type="checkbox"/> Other items or information. | | | |

| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.51) | | INTERNATIONAL APPLICATION NO. | ATTORNEY'S DOCKET NUMBER | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---------------|-------------------------------|--------------------------------------|-----------|--------|---------------|--------------|------|----------|--------------|----|---------|----|-----------|--------------------|---|------|---|-----------|--|--|--|----------|--|--|--|--|--------------------------------------|--|
| 19770119-1 (To Be Assigned) | | PCT/GB99/01866 | 36-1367 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 17. <input checked="" type="checkbox"/> The following fees are submitted: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1000.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$860.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO\$710.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| -- International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| -- International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th colspan="2">RATE</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>26</td> <td>-20 =</td> <td>6</td> <td>X \$18.00</td> </tr> <tr> <td>Independent Claims</td> <td>4</td> <td>-3 =</td> <td>1</td> <td>X \$80.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</td> <td colspan="2">\$270.00</td> </tr> <tr> <td colspan="3"></td> <td colspan="2" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> </tr> </tbody> </table> | | | | | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | Total Claims | 26 | -20 = | 6 | X \$18.00 | Independent Claims | 4 | -3 = | 1 | X \$80.00 | MULTIPLE DEPENDENT CLAIMS(S) (if applicable) | | | \$270.00 | | | | | TOTAL OF ABOVE CALCULATIONS = | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total Claims | 26 | -20 = | 6 | X \$18.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| Independent Claims | 4 | -3 = | 1 | X \$80.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) | | | \$270.00 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | TOTAL OF ABOVE CALCULATIONS = | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Reduction by ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28). | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL = \$ 1048.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| + 0.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = \$ 1048.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| + \$ 40.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Fee for Petition to Revive Unintentionally Abandoned Application (\$1240.00 – Small Entity = \$620.00) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| \$ 0.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = \$ 1088.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;"></td> <td style="width: 10%; text-align: center;">Amount to be:</td> <td style="width: 20%;"></td> </tr> <tr> <td></td> <td style="text-align: center;">refunded</td> <td style="text-align: center;">\$</td> </tr> <tr> <td></td> <td style="text-align: center;">Charged</td> <td style="text-align: center;">\$</td> </tr> </table> | | | | | | Amount to be: | | | refunded | \$ | | Charged | \$ | | | | | | | | | | | | | | | | |
| | Amount to be: | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | refunded | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Charged | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$1088.00 to cover the above fees is enclosed. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A <u>duplicate</u> copy of this form is enclosed. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| d. <input type="checkbox"/> The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8 th Floor Arlington, Virginia 22201 Telephone: (703) 816-4000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  Larry S. Nixon NAME | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 25,640 November 27, 2000 REGISTRATION NUMBER Date | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |